Attorney's Docket No.: 17109-002001 / 911

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Manuel Vega, et. al Art Unit: 1631

Serial No.: 10/022,249 Examiner: Channing S. Mahatan

Filed: December 17, 2001 Cust. No.: 20985

Conf. No.: 7196

Title : HIGH THROUGHPUT DIRECTED EVOLUTION BY RATIONAL

**MUTAGENESIS** 

### Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, Form PTO-1449 (2 pages), bound references (3 volumes in 1 box), a check for the requisite fee of \$180; and a return postcard for filing in connection with the above-identified application:

The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie Seidman Reg. No. 33,779

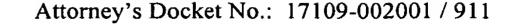
Dated: March 14, 2005

Attorney Docket No. 17109-002001 / 911

Address all correspondence to:

Stephanie L. Seidman Fish & Richardson P.C. 12390 El Camino Real

San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (202) 626-7796 email: seidman@fr.com





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# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§1.97-1.98

#### Dear Sir:

Because this Supplemental Information Disclosure Statement is after receipt of a first Office Action on the Merits of the above-captioned application, the filing fee of \$180 is enclosed. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (2 pages) and copies of the cited references that are not US patent documents are provided herewith in connection with the above-captioned application.

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

Further to the list provided in the communication filed on June 3, 2003, applicant also makes known to the Examiner the following pending U.S. and International Applications that

Attorney's Docket No.: 17109-002001 / 911

Applicant: Manuel Vega, et. al

Serial No.: 10/022,249

Filed: December 17, 2001

Supplemental Information Disclosure Statement

have one or more common inventors and/or are commonly owned:

U.S.S.N.	Filing Date	Publication or Issue No.	Publication or Issue Date	Docket No.
10/022,390	12/17/01	2003-0129203	7/10/03	912
10/375,192	2/24/03	2003-0224404	12/4/03	918
10/658,834	9/8/03	2004-0132977	7/8/04	922
10/658,355	9/8/03	n/a	n/a	923
60/625,652	11/4/04	n/a	n/a	P925
10/168,075	12/13/00	2003-0129584	7/10/03	n/a
10/275,253	05/09/03	2003-0175694	9/18/03	n/a
Int'l App. No.	Filing Date	Publication	Publication	Docket No.
		No.	Date	
PCT/IB02/03921	8/16/02	03/023032	3/20/03	911PC
PCT/IB02/04087	8/16/02	03/018820	3/6/03	912PC
PCT/IB03/04347	9/8/03	2004/022593	3/18/04	922PC
PCT/IB03/04255	9/8/03	2004/022747	3/18/04	923PC
EP20010931796	5/4/01	EP 1281081	2/5/03	n/a
EP20000985423	12/13/01	EP 1244912	10/2/01	n/a
PCT/FR01/01366	05/04/01	01/86291	11/15/01	n/a
PCT/FR01/03503	12/13/00	01/44809	6/21/01	n/a
FR 99 15884	12/16/1999	(2802645)	3/8/2002	n/a
FR 00 05852	05/09/2000	FR 2 808 804	08/01/2002	n/a
EP02798018.4	08/16/2002	EP 1 427 823	06/16/2004	n/a

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application. In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(b) exists.

Applicant: Manuel Vega, et. al Attorney's Docket No.: 17109-002001/911

Serial No.: 10/022,249

Filed: December 17, 2001

Supplemental Information Disclosure Statement

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully sylbmitted,

Stephanie L. Seidman

Reg. No. 33,779

Dated: March 14, 2005

Attorney Docket No. 17109-002001 / 911

Address all correspondence to:

Stephanie L. Seidman Fish & Richardson P.C. 12390 El Camino Real

San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (202) 626-7796 email: seidman@fr.com Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 17109-002001 / 911

Application No. 10/022,249

List of Patents and Publications for Applicant's Information Disclosure Statement

Applicant

Manuel Vega, et. al

Filing Date December 17, 2001

Group Art Unit

1631

(37 CFR §1.98(b))

			U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	3,171,820	03/02/65	Volz et al.	521	61	02/17/64
	AB	5,223,409	06/29/93	Ladner et al.	435	69.7	03/01/91
	AC	6,013,478	01/11/00	Wells et al.	435	69.1	06/24/98
	AD	2003/0129203	07/10/03	Manuel Vega et al.	424	233.1	12/17/01
	AE	2003/0129584	07/10/03	Manuel Vega	435	5	10/28/02
	AF	2003/0175694	09/18/03	Manuel Vega et al.	435	5	05/09/03
	AG	2003/0224404	12/04/03	Vega et al.	435	6	02/24/03
	AH	2004/0132977	07/08/04	Rene Gantier et al.	530	351	09/08/03

	Foreig	n Patent Doo	cuments or P	ublished Foreign	Patent A	Application	าร	
Examiner	Desig.	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Trans Yes	lation
Initial	ID	<u> </u>	-		Class	Subclass	res	No
	AI	01/25253	04/12/01	PCT				
	AJ	01/32711	05/10/01	PCT				
	AK	01/32844	05/10/01	PCT				
	AL	01/61344	08/23/01	PCT				
	AM	01/025438 🗸	04/12/01	PCT				
	AN	03/018820	03/06/03	PCT				
	AO	03/023032	03/20/03	PCT				
	AP	04/022747	03/18/04	PCT				
	AQ	04/022593	03/18/04	PCT				
	AR	0 240 224	10/07/87	EP				
	AS	95/23813	09/08/95	PCT				
	AT	98/13487	04/02/98	PCT				
	AU	99/07833	02/18/99	PCT				

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner	Desig.			
Initial	ID	Document		

Examiner Signature

Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute For (Modified)	m PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17109-002001 / 911	Application No. 10/022,249	OIPE
List of Patents and Publications for Applicant's Information Disclosure Statement			Applicant Manuel Vega, et. al  MAR 1 5 200		
(37 CFR §1.98	8(b))		Filing Date December 17, 2001	Group Art Unit 1631	TO O DEMARK OFF
		ocuments (include Author,	Title, Date, and Place	of Publication	)_
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	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig. ID	Document			
IIIIIai_	<u>                                   </u>				
	AV	Charbord et al. "Normal human granulomonocytic bone marrow progenitor cells responsiveness to colony stimulating activity" Nouv.l Rev. Fr. Hématol. 22:357-370 (1980)			
	AW	Davis et al. "High throughput method for creating and screening recombinant adenoviruses" Gene Therapy 5(8):1148-1152 (1998)			
*	AX	Gibrat et al "Surprising similarities in structure comparison" Curr. Opionion in Structural Biology 6(3): 377-385 (1996)			
	AY	Holm et al "Mapping the protein Universe" Science 273(2):8036-8075 (1996)			
_	AZ	Kuhn "Structural basis for the positional specificity of lipoxygenases" Prostaglandins and other lipid mediators 62(3): 255-270 (2000)			
	BA	Lewerenz et al. "Shared receptor components but distinct complexes for alpha and beta interferons" J. Mol. Biol. 282(3):585-599 (1998)			
	BB	Manetti et al. "Design and realization of a tailor-made enzyme to modify the molecular recognition of 2-arylpropionic esters by Candida rugosa lipase" Biochem. Biophys. Acta 1543(1): 146-158 (2000)			
	ВС	Moullier et al., "Comparative binding of wheat germ agglutinin and its succinylated form on lymphocytes" European J. Biochem. 161:197-204 (1986)			
	BD	Piehler et al "New Structural and functional aspects of the type I interferon-receptor interaction revealed by comprehensive mutational analysis of the binding interface" J. Biol Chem. 275(51): 40425-40433 (2000)			
	BE	Schumann et al. "Intracellular Ca2+ inhibits smooth muscle L-type Ca2+ channels by activation of protein phosphatase type 2B and by direct interaction with the channel", J. General Physiology 110: 503-513 (1997)			

Examiner Signature Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.